

ADOPTED

Compliance Committee Charter

Interstate Commission for EMS Personnel Practice

Adopted by the Commission: April 28, 2026

Section 1. Purpose

The Compliance Committee is established as a standing committee of the Commission to monitor Member State compliance with the REPLICA Model Legislation, Commission Administrative Rules, and Commission Bylaws; to coordinate technical assistance for states working toward compliance; and to prepare compliance reports and updates for the Commission. The Committee serves a monitoring and support function. Formal compliance actions, including the initiation of default proceedings, remain the authority of the Executive Committee under Administrative Rules, Section 13.1.

Section 2. Authority

The Compliance Committee is authorized under Bylaws, Article VII, Section 2, which provides that the Commission may establish such committees as it deems necessary to advise it concerning the fulfillment of its objectives. The Committee operates within the compliance framework established by:

- REPLICA Model Legislation, Section 13 (Oversight, Dispute Resolution, and Enforcement)
- Commission Administrative Rules, Section 13.1 (Initiation of Compliance)
- Commission Administrative Rules, Section 13.2 (Dispute Resolution Process)

Section 3. Scope of Responsibilities

The Compliance Committee shall:

1. **Monitor Compliance.** Review and track Member State compliance with all requirements of the Compact, Administrative Rules, and Bylaws, including but not limited to: NEMSCD integration and data submission, adverse action and discipline reporting, biometric criminal history check implementation, Commissioner appointment and meeting attendance, and expedited veteran/military processing.
2. **Prepare Compliance Reports.** Develop and administer the Annual Compliance Report survey, compile results, cross-reference self-reported data against NEMSCD records and other Commission data sources, and prepare the Annual Compliance Summary Report for presentation at the Annual In-Person Meeting.

3. **Provide Compliance Updates.** Report to the Full Commission at each scheduled meeting on the current compliance status of all Member States, including progress on identified deficiencies, corrective action plans, and emerging compliance concerns.
4. **Coordinate Technical Assistance.** Serve as the primary point of contact for Member States seeking technical assistance on compliance matters. Facilitate peer-to-peer knowledge sharing between states, coordinate Commissioner onboarding for newly appointed Commissioners, and develop compliance resources including model documents, implementation guides, and best practice materials.
5. **Refer Persistent Non-Compliance.** When a Member State's non-compliance remains unresolved after the Committee has provided technical assistance and a reasonable period for corrective action, the Committee shall refer the matter to the Executive Committee for action under Administrative Rules, Section 13.1. The referral shall include a written summary of the compliance issue, the technical assistance provided, the state's response, and the Committee's recommendation.

Section 4. Limitations on Authority

The Compliance Committee shall not:

- **Initiate formal compliance proceedings, issue notices of non-compliance, or impose remedies.** These authorities are reserved for the Executive Committee under Administrative Rules, Section 13.1.
- **Make binding interpretations of the Compact, Administrative Rules, or Bylaws.** Requests for interpretation shall be referred to the Executive Committee per Administrative Rules, Section 13.2(B).
- Access, modify, or release personally identifiable information, Criminal History Record Information, or data exempt from disclosure under Administrative Rules, Section 11.4(A).

Section 5. Membership

- (A) The Committee shall be composed of no fewer than three (3) and no more than seven (7) Commissioners, appointed by the Chairperson of the Commission.
- (B) The Chairperson of the Commission shall designate one member as Committee Chair.
- (C) The Executive Director shall serve as an ex-officio, non-voting member of the Committee and shall provide staff support.
- (D) Committee members shall serve for a term of two (2) years, concurrent with the Commission officer election cycle, and may be reappointed.
- (E) No Commissioner whose state is the subject of an active compliance review by the Committee shall participate in the Committee's deliberation or recommendation regarding that state.

Section 6. Meetings and Reporting

- (A) The Committee shall meet at least twice annually, or more frequently as determined by the Committee Chair.
- (B) The Committee shall provide a report to the Full Commission at each scheduled Commission meeting.
- (C) The Committee shall provide an annual Compliance Summary Report to the Commission at the meeting held in the second quarter of each fiscal year.
- (D) Committee meetings may be conducted by telephone, video conference, or other means of virtual participation.

Section 7. Confidentiality

Compliance monitoring information reviewed by the Committee that relates to individual Member State performance shall be treated as confidential until presented to the Commission. The Commission may authorize the release of aggregated compliance data in public reports consistent with Administrative Rules, Section 11.4(E). Individual state compliance matters may be discussed in closed session under REPLICA Model Legislation, Section 10.B.5(a) (non-compliance of a Member State with its obligations under the Compact).

Section 8. Amendment

This Charter may be amended by a majority vote of the Commission at any scheduled meeting, provided the proposed amendment is included in the meeting agenda distributed at least ten (10) days prior to the meeting per Bylaws, Article VI, Section 1.

Adoption

Adopted: By unanimous vote of the Commission, April 28, 2026.

Effective: Immediately upon adoption.

Authority: REPLICA Section 13; Administrative Rules Section 13.1; Bylaws Article VII, Section 2.

ADDENDUM A**Committee Membership***As appointed by Chairperson Kraig Kinney*

Pursuant to Section 5 of this Charter and Bylaws, Article III, Section 3, Chairperson Kraig Kinney has appointed the following Commissioners to the Compliance Committee:

Commissioner	Member State	Role
Joe House	Kansas	Chair
Michael Johnson	Georgia	Member
Michael Bateman	Colorado	Member
Susan Neugebauer	Wyoming	Member
Joseph Schmider	Texas	Member
Jon Jones	South Carolina	Member
Brandon Ward	Tennessee	Member

Notes

- Committee size of seven (7) members reflects the maximum permitted under Section 5(A) of this Charter (minimum of three, maximum of seven).
- Membership terms are two (2) years per Section 5(D), concurrent with the Commission officer election cycle.
- Donnie R. Woodyard, Jr., Executive Director, serves as ex-officio non-voting member and provides staff support per Section 5(C).
- Membership changes are documented by amendment to this Addendum and reported to the Commission at the next scheduled meeting.